

Polynomial Approximation of an Entire Function of Slow Growth

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1. INTRODUCTION

Let $C[-1, 1]$ be the set of all real or complex valued continuous functions defined on the closed interval $[-1, 1]$. If $f(x) \in C[-1, 1]$, let

$$E_n(f) = \inf_{p \in \pi_n} \|f - p\|, \quad n = 0, 1, 2, \dots, \quad (1.1)$$

where the norm is the sup norm on $[-1, 1]$ and π_n denotes the set of all polynomials p of degree at most n . Bernstein ([1, p. 118]; see also [5, pp. 76-78; 6, pp. 90-94]) proved that

$$\lim_{n \rightarrow \infty} (E_n(f))^{1/n} = 0 \quad (1.2)$$

if and only if $f(x)$ is the restriction to $[-1, 1]$ of an entire function. Varga [13] studied the order and type of this entire function. Reddy [7, 8] further studied different orders and different types of $f(z)$ and Juneja [3] studied its lower order.

Let L^0 denote the class of functions $h(x)$ satisfying conditions (H, i) and (H, ii):

(H, i) $h(x)$ is defined on $[a, \infty)$; is positive, strictly increasing, and differentiable; and tends to ∞ as $x \rightarrow \infty$.

$$(H, ii) \quad \lim_{x \rightarrow \infty} \frac{h[x(1 + \tilde{g}(x))]}{h(x)} = 1$$

for every function $\tilde{g}(x)$ such that $\tilde{g}(x) \rightarrow 0$ as $x \rightarrow \infty$.

Let A denote the class of functions $h(x)$ satisfying conditions (H, i) and (H, iii):

$$(H, iii) \quad \lim_{x \rightarrow \infty} \frac{h(cx)}{h(x)} = 1 \quad \text{for every } c, \quad 0 < c < \infty.$$

Following Šeremeta [9], Shah [10] defined generalised order $\rho(\alpha, \beta, f)$ and generalised lower order $\lambda(\alpha, \beta, f)$ of an entire function $f(z)$ as

$$\begin{aligned} \rho(\alpha, \beta, f) &= \limsup_{r \rightarrow \infty} \frac{\alpha(\log M(r, f))}{\beta(\log r)}, \\ \lambda(\alpha, \beta, f) &= \liminf_{r \rightarrow \infty} \frac{\alpha(\log M(r, f))}{\beta(\log r)}, \end{aligned} \tag{1.3}$$

where $\alpha(x) \in A$ and $\beta(x) \in L^0$, and generalised various results contained, for example, in [3, 7, 8, 13].

Taking $\alpha(x) = \log x$ and $\beta(x) = x$ in (1.3) we get the familiar order $\rho \equiv \rho(f)$ and the lower order $\lambda \equiv \lambda(f)$ of an entire function $f(z)$ [2, p. 8]. An entire function $f(z)$ for which $\rho = 0$ is said to be slow growth. Various authors (e.g., [4, 11]) have defined order and lower order of an entire function $f(z)$ of slow growth by considering the ratio $l_j M(r, f) / l_j r$, $j \geq 2$, where $l_1 x = \log x$, $l_j x = \log(l_{j-1} x)$.

The generalised orders of an entire function in terms of the coefficients in its Taylor series are characterized by Shah [10]. Some of his results [10, (1.6), (1.7)] are obtained under the condition

$$\frac{d[\beta^{-1}(\alpha(x))]}{d(\log x)} = O(1) \quad \text{as } x \rightarrow \infty. \tag{1.4}$$

Clearly (1.4) is not satisfied for $\alpha(x) = \beta(x)$. Thus, in this case, the corresponding results of Shah [10, (1.6), (1.7)] are not applicable.

In the present paper we define generalised orders of an entire function in a new way. Our results apply satisfactorily to entire functions of slow growth and generalise many previous results [4, 7, 8, 11].

Let Ω be the class of functions $h(x)$ satisfying (H, i) and (H, iv):

(H, iv) There exists a $\delta(x) \in A$ and x_0, K_1 and K_2 such that

$$0 < K_1 \leq \frac{d(h(x))}{d(\delta(\log x))} \leq K_2 < \infty$$

for all $x > x_0$.

Let $\bar{\Omega}$ be the class of functions $h(x)$ satisfying (H, i) and (H, v):

$$(H, v) \quad \lim_{x \rightarrow \infty} \frac{d(h(x))}{d(\log x)} = K, \quad 0 < K < \infty.$$

It can easily be seen that Ω and $\bar{\Omega}$ are contained in \mathcal{A} . Further Ω and $\bar{\Omega}$ have no common element. Let $F_{q,j}(x) = \exp_q(cl_{j+1}x)$, where $\exp_0(w) = w$ and $\exp_q(w) = \exp(\exp_{q-1}w)$, $q = 1, 2, \dots$. Then, the functions $F_{0,p}, F_{2,p+1}$, with $0 < c < 1$ if $p = 1$ and $0 < c < \infty$ if $p > 1$, and $F_{p,p}, p \geq 2, 0 < c < 1$, are in Ω with the choices of $\delta(x)$ as $F_{0,p-1}, F_{2,p}$ and $F_{p,p-1}$, respectively. In fact all the functions of the form $\delta(\log x)$, where $\delta(x) \in \mathcal{A}$, are in Ω . The functions $(\alpha_1 - \alpha_2/x) \log x$, $\alpha_1 > 0, \alpha_2 \geq 0$, and $\log x + \alpha_3(l_p x)^{\alpha_4}$, where $0 < \alpha_3 < \infty$ and $\alpha_4 \leq 1$ if $p = 1$ and $0 < \alpha_4 < \infty$ if $p > 1$, are in $\bar{\Omega}$. Since $h(x) \in \Omega$ implies $h(x) \sim h(x^2)$ as $x \rightarrow \infty$, the functions $F_{1,1}$ with $0 < c < 1$ and $h_1(x) \log x$, where $h_1(x)$ satisfies (H, i) are neither in Ω nor in $\bar{\Omega}$.

Let

$$f(z) = \sum_{n=0}^{\infty} a_n z^{\lambda_n} \quad (1.5)$$

be a nonconstant entire function. Here $\lambda_0 = 0$ and $\{\lambda_n\}_{n=1}^{\infty}$ is a strictly increasing sequence of positive integers such that no element of the sequence $\{a_n\}_{n=1}^{\infty}$ is zero.

We define the generalised order $\rho(\alpha, \alpha, f)$ and the generalised lower order $\lambda(\alpha, \alpha, f)$ of the entire function $f(z)$, given by (1.5), as

$$\begin{aligned} \rho(\alpha, \alpha, f) &= \limsup_{r \rightarrow \infty} \frac{\alpha(\log M(r, f))}{\alpha(\log r)}, \\ \lambda(\alpha, \alpha, f) &= \liminf_{r \rightarrow \infty} \frac{\alpha(\log M(r, f))}{\alpha(\log r)}, \end{aligned} \quad (1.6)$$

where $\alpha(x)$ either belongs to Ω or to $\bar{\Omega}$ and

$$M(r) \equiv M(r, f) = \max_{|z|=r} |f(z)|.$$

We remark here that if $\alpha(x) \in \bar{\Omega}$ then generalized orders of $f(z)$, given by (1.6), coincide with its (2, 2) orders [4]. There are certain functions (e.g., $(\log x)^c, 0 < c < 1$, or $(\log x)^{\alpha_1} (l_2 x)^{\alpha_2} \dots (l_p x)^{\alpha_p}$, where $\alpha_1 \geq 1$ and at least one $\alpha_i, i = 2, \dots, p$, is nonzero if $\alpha_1 = 1$) which are inadmissible in Ω or $\bar{\Omega}$ but if the rate of growth of an entire function with respect to such functions is measured by (1.6) with $1 < \rho(\alpha, \alpha, f) < \infty$, then the same is as well measured by functions in $\bar{\Omega}$. Thus, excluding these functions from the classes Ω or $\bar{\Omega}$ does not mean excluding entire functions of certain types of growth from our discussion.

Further, let

$$\mu(r) \equiv \mu(r, f) = \max_{n > 0} \{|a_n| r^{\lambda_n}\}$$

and

$$v(r) \equiv v(r, f) = \max \{\lambda_n; \mu(r) = |a_r| r^{\lambda_n}\}.$$

The functions $\mu(r)$ and $\nu(r)$ are called, respectively, the maximum term and the rank of the maximum term of $f(z)$ for $|z| = r$.

In Theorem 3 we obtain $\rho(\alpha, \alpha, f)$ and $\lambda(\alpha, \alpha, f)$ in terms of $\mu(r)$ and $\nu(r)$. Theorems 4–6 deal with characterizations of generalized orders in terms of a_n 's. We then apply these results in Theorems 1 and 2 to obtain expressions for generalised orders in terms of the approximation error $E_n(f)$.

We shall use the following notations throughout the paper.

Notation 1.

$$P_\omega(\xi) = \max\{1, \xi\} \quad \text{if } \alpha(x) \in \Omega$$

$$= \varphi + \xi \quad \text{if } \alpha(x) \in \bar{\Omega}.$$

We shall write $P(\xi)$ for $P_1(\xi)$.

Notation 2. $G[x; c] = \alpha^{-1}[c\alpha(x)]$, c is a positive constant.

Notation 3. $\psi(n)$, $n = 0, 1, 2, \dots$, will denote the function

$$\psi(n) = \frac{1}{\lambda_{n+1} - \lambda_n} \log \left| \frac{a_n}{a_{n+1}} \right|.$$

2. MAIN THEOREMS

Throughout Sections 2 and 4 we shall assume that $f(x) \in C[-1, 1]$ is not a polynomial.

THEOREM 1. *Let $f(x) \in C[-1, 1]$ and $E_n(f)$, defined by (1.1), satisfy (1.2). Then,*

(A) $f(x)$ is restriction to $[-1, 1]$ of an entire function $f(z)$.

Also

(B) (i) $\rho(\alpha, \alpha, f) = P(L)$, where

$$L = \limsup_{n \rightarrow \infty} \frac{\alpha(n)}{\alpha\{(1/n) \log(1/E_n(f))\}}.$$

(ii) $\rho(\alpha, \alpha, f) \leq P(L^*)$ if L^* is well defined by

$$L^* = \limsup_{n \rightarrow \infty} \frac{\alpha(n)}{\alpha\{\log(E_{n-1}(f)/E_n(f))\}}.$$

(iii) $\lambda(\alpha, \alpha, f) \geq P(\hat{I})$, where

$$\hat{I} = \liminf_{n \rightarrow \infty} \frac{\alpha(n)}{\alpha\{(1/n) \log(1/E_n(f))\}}.$$

(iv) If we take $\alpha(x) = \alpha(a)$ on $(-\infty, a)$ then

$$\lambda(\alpha, \alpha, f) \geq P(l^*),$$

where

$$l^* = \liminf_{n \rightarrow \infty} \frac{\alpha(n)}{\alpha\{\log(E_{n-1}(f)/E_n(f))\}}.$$

(C) Further, if $E_n(f)/E_{n+1}(f)$ is ultimately nondecreasing, then

$$\rho(\alpha, \alpha, f) = P(L) = P(L^*)$$

and

$$\lambda(\alpha, \alpha, f) = P(l) = P(l^*).$$

THEOREM 2. Let $f(x) \in C[-1, 1]$ and $E_n(f)$ satisfy (1.2). Then

(i) If $\alpha(x) \in \Omega$, we have

$$\lambda(\alpha, \alpha, f) = \max_{\{n_k\}} \{P_{\chi_t}\{l_t\}\} \quad (2.1)$$

and if we further take $\alpha(x) = \alpha(a)$ on $(-\infty, a)$, then

$$\lambda(\alpha, \alpha, f) = \max_{\{n_k\}} \{P_{\chi_t}\{l_t^*\}\}, \quad (2.2)$$

where

$$\chi_t \equiv \chi_t(\{n_k\}) = \liminf_{k \rightarrow \infty} \frac{\alpha(n_{k-1})}{\alpha(n_k)}$$

and

$$l_t \equiv l_t(\{n_k\}) = \liminf_{k \rightarrow \infty} \frac{\alpha(n_{k-1})}{\alpha\{(1/n_k) \log(1/E_{n_k}(f))\}}$$

and

$$l_t^* \equiv l_t^*(\{n_k\}) \\ = \liminf_{k \rightarrow \infty} \frac{\alpha(n_{k-1})}{\alpha\{(1/(n_k - n_{k-1})) \log(E_{n_{k-1}}(f)/E_{n_k}(f))\}}.$$

Maximum in (2.1) and (2.2) is taken over all increasing sequences $\{n_k\}$ of positive integers.

(ii) Further, if $\{n_m\}$ is the sequence of the principal indices of the entire function $g(z) = \sum_{n=0}^{\infty} E_n(f) z^n$ and $\alpha(n_m) \sim \alpha(n_{m+1})$ as $m \rightarrow \infty$, then (2.1) and (2.2) also hold for $\alpha(x) \in \bar{\Omega}$. (Here again we taken $\alpha(x) = \alpha(a)$ on $(-\infty, a)$.)

Remark. With $\alpha(x) = \log x$ in Theorem 1, some results of Reddy [7, 8] follow.

3. SOME INTERMEDIARY THEOREMS

Throughout this section we shall assume that the entire function $f(z)$, defined by (1.5), is not a polynomial.

THEOREM 3. *Let $f(z)$ be an entire function defined by (1.5). Then*

$$\rho(\alpha, \alpha, f) = P(\varphi_1) = \theta_1 \tag{3.1}$$

and

$$\lambda(\alpha, \alpha, f) = P(\varphi_2) = \theta_2, \tag{3.2}$$

where

$$\varphi_1 = \lim_{r \rightarrow \infty} \sup \frac{\alpha(v(r))}{\alpha(\log r)} \tag{3.3}$$

and

$$\varphi_2 = \lim_{r \rightarrow \infty} \sup \frac{\alpha(\log \mu(r))}{\alpha(\log r)}. \tag{3.4}$$

Proof. We shall prove the theorem in several parts.

(i) Since $\log M(r)$ is a convex function of $\log r$ we have $\rho(\alpha, \alpha, f) \geq \lambda(\alpha, \alpha, f) \geq 1$.

(ii) $\rho(\alpha, \alpha, f) = \theta_1$ and $\lambda(\alpha, \alpha, f) = \theta_2$ follow easily on the lines of proof of Theorem 1 of Shah [10].

(iii) Let $\alpha(x) \in \Omega$. Since [2, pp. 12, 13; 12, pp. 28–32]

$$\log \mu(er) > v(r),$$

using parts (i) and (ii) of the proof, we have $\theta_2 \geq \max(1, \varphi_2)$.

To prove $\theta_2 \leq \max(1, \varphi_2)$ assume that $\varphi_2 < \infty$. First let $1 \leq \varphi_2 < d < \infty$. Then, from (3.3), we have

$$v(r_n) < G[\log r_n; d] \quad (3.5)$$

for a sequence $\{r_n\}$, $r_n \rightarrow \infty$. From (3.5) and [2, pp. 12, 13] we get

$$(1 + o(1)) \log \mu(r_n) < v(r_n) \log r_n \leq \{G[\log r_n; d]\}^2$$

for $\{r_n\}$, since $d > 1$. This gives $\theta_2 \leq \varphi_2$, if $\varphi_2 \geq 1$, since $\alpha(x) \in \Omega$. Now, let $\varphi_2 < 1$. Then, from (3.3)

$$v(r'_n) < \log r'_n \quad (3.6)$$

for a sequence $\{r'_n\}$. Using (3.6) and the fact that $\alpha(x) \in \Omega$ one gets $\theta_2 \leq 1$ and so $\theta_2 = \max(1, \varphi_2)$.

(iv) Proof of $\theta_1 = \max(1, \varphi_1)$ when $\alpha(x) \in \Omega$ is similar to part (iii) above.

(v) Now, let $\alpha(x) \in \bar{\Omega}$. We have [12, pp. 28–32]

$$\alpha\{(1 + o(1)) \log \mu(r)\} < \alpha(v(r)) + \log \log r \frac{d\alpha(x)}{d \log x} \Big|_{x=x^*(r)},$$

where $v(r) < x^*(r) < v(r) \log r$. This gives $\theta_2 \leq 1 + \varphi_2$, since $\alpha(x) \in \bar{\Omega}$.

Since [12, pp. 28–32] $\log \mu(r^2) > v(r) \log r$, proceeding as above, we get $\theta_2 \geq 1 + \varphi_2$, and so $\theta_2 = 1 + \varphi_2$.

(vi) Proof of $\theta_1 = 1 + \varphi_1$ when $\alpha(x) \in \bar{\Omega}$ is similar to part (v) above.

Theorem follows from parts (i) to (vi) above.

THEOREM 4. Let $f(z)$, defined by (1.5), be an entire function having generalised order $\rho(\alpha, \alpha, f) \equiv \rho(1 \leq \rho \leq \infty)$. Then

(A) (i) We have

$$\rho(\alpha, \alpha, f) = P(\tilde{L}),$$

where

$$\tilde{L} = \limsup_{n \rightarrow \infty} \frac{\alpha(\lambda_n)}{\alpha\{(1/\lambda_n) \log |a_n|^{-1}\}}. \quad (3.7)$$

(ii) $\rho(\alpha, \alpha, f) \leq P(\tilde{L}^*)$

if \tilde{L}^* is well defined by

$$\tilde{L}^* = \limsup_{n \rightarrow \infty} \frac{\alpha(\lambda_n)}{\alpha\{(1/(\lambda_n - \lambda_{n-1})) \log |a_{n-1}/a_n|\}}.$$

(B) If, further, $\psi(n)$ is ultimately a nondecreasing function then

$$\rho(\alpha, \alpha, f) = P(\tilde{L}) = P(\tilde{L}^*).$$

Proof. (A)(i) Abbreviate $\rho(\alpha, \alpha, f)$ as ρ . If $\alpha(x) \in \Omega$, it follows from Theorem 1 of [9] that $\rho \geq P(\tilde{L})$.

Next, let $\alpha(x) \in \bar{\Omega}$ and $\rho < \infty$. Then, by (1.6), given $\varepsilon > 0$ there exists $r_0 = r_0(\varepsilon)$ such that

$$\log M(r) < G[\log r; \bar{\rho}] \tag{3.8}$$

for $r > r_0$, $\bar{\rho} = \rho + \varepsilon$. Choose $r = r(n)$ to be the unique root of the equation

$$\lambda_n = \frac{\bar{\rho}}{\log r} G[\log r; \bar{\rho}]. \tag{3.9}$$

Using Cauchy's inequality, (3.8) and (3.9) we get

$$\alpha(\log r) < \alpha \left\{ \frac{\bar{\rho}}{\bar{\rho} - 1} \frac{1}{\lambda_n} \log |a_n|^{-1} \right\}, \tag{3.10}$$

where $r = r(n)$ is given by (3.9). Since $\alpha(x) \in \bar{\Omega}$, as $n \rightarrow \infty$, we have

$$\alpha(\log r) \sim \frac{1}{\bar{\rho} - 1} \alpha(\lambda_n) \tag{3.11}$$

for $r = r(n)$ satisfying (3.9). Thus (3.10) and (3.11) give $\rho \geq 1 + \tilde{L}$.

We proved above $\rho \geq P(\tilde{L})$. To prove $\rho \leq P(\tilde{L})$ assume that $\tilde{L} < \infty$. Then by (3.7), given $\varepsilon > 0$, there exists $n'_0 = n'_0(\varepsilon)$ such that

$$|a_n| < \exp \left\{ -\lambda_n G \left[\lambda_n; \frac{1}{\tilde{L}} \right] \right\}$$

for $n > n'_0$, where $\bar{L} = \tilde{L} + \varepsilon$. Now,

$$\begin{aligned} M(r) &\leq \sum_{n=0}^{\infty} |a_n| r^{\lambda_n} \\ &= \sum_{n=0}^{n'_0} |a_n| r^{\lambda_n} + \sum_{n=n'_0+1}^s |a_n| r^{\lambda_n} + \sum_{n=s+1}^{\infty} |a_n| r^{\lambda_n}, \end{aligned}$$

where s is chosen such that

$$\lambda_s \leq G[\log 2r; \bar{L}] < \lambda_{s+1}$$

and so

$$M(r) \leq A(n'_0) + \exp\{G[\log 2r; \bar{L}] \log r\} \\ \times \sum_{n=0}^{\infty} \exp\left\{-\lambda_n G\left[\lambda_n; \frac{1}{\bar{L}}\right]\right\} + \sum_{n=0}^{\infty} 2^{-n},$$

where $A(n'_0)$ is a polynomial of degree at most $\lambda_{n'_0}$. Since both the series in the above expression are convergent, we have, for large values of r ,

$$(1 + o(1)) \log M(r) \leq G[\log 2r; \bar{L}] \cdot \log r. \quad (3.12)$$

Using (3.12) we get $\rho \leq P(\bar{L})$ and so $\rho = P(\bar{L})$.

This proves part (A)(i) of the theorem.

Parts (A)(ii) and (B) of the theorem can be proved by suitably modifying the proof of Theorem 3 of [4].

LEMMA 1. Let (1.5) be an entire function having generalised lower order $\lambda(\alpha, \alpha, f) = \lambda(1 \leq \lambda \leq \infty)$. Let $\{n_k\}_{k=0}^{\infty}$ be an increasing sequence of positive integers. Then

$$(i) \quad \lambda(\alpha, \alpha, f) \geq P_{\chi}(\tilde{l}), \quad (3.13)$$

where

$$\chi \equiv \chi(\{n_k\}) = \liminf_{k \rightarrow \infty} \frac{\alpha(\lambda_{n_{k-1}})}{\alpha(\lambda_{n_k})} \quad (3.14)$$

and

$$\tilde{l} \equiv \tilde{l}(\{n_k\}) = \liminf_{k \rightarrow \infty} \frac{\alpha(\lambda_{n_{k-1}})}{\alpha\{(1/\lambda_{n_k}) \log |a_{n_k}|^{-1}\}}. \quad (3.15)$$

(ii) Further, if we take $\alpha(x) = a(a)$ on $(-\infty, a)$, then

$$\lambda(\alpha, \alpha, f) \geq P_{\chi}(\tilde{l}^*), \quad (3.16)$$

where χ is as in (3.14) and

$$\tilde{l}^* \equiv \tilde{l}^*(\{n_k\}) = \liminf_{k \rightarrow \infty} \frac{\alpha(\lambda_{n_{k-1}})}{\alpha\{(1/(\lambda_{n_k} - \lambda_{n_{k-1}})) \log |a_{n_{k-1}}/a_{n_k}|\}}. \quad (3.17)$$

Proof. Abbreviate $\lambda(\alpha, \alpha, f)$ as λ . If $\alpha(x) \in \Omega$, it follows from part (iii) of the proof of Theorem 4 of Shah [10] that $\lambda \geq P(\tilde{l})$.

Next, let $\alpha(x) \in \bar{\Omega}$ and $\tilde{l} < \infty$. Then, for $k > k_0(\varepsilon)$, $\varepsilon > 0$, we have

$$|a_{n_k}| > \exp\{-\lambda_{n_k} G[\lambda_{n_{k-1}}; 1/\tilde{l}]\}, \quad \tilde{l} = \tilde{l} = \varepsilon. \quad (3.18)$$

Set $r_k = \exp\{2G[\lambda_{n_{k-1}}; 1/\bar{l}]\}$. Then, for $r_k \leq r \leq r_{k+1}$, we have, by Caychy's inequality and (3.18), that

$$\log M(r) \geq \lambda_{n_k} G[\lambda_{n_{k-1}}; 1/\bar{l}]. \tag{3.19}$$

Using (3.19), we get

$$\frac{\alpha(\log M(r))}{\alpha(\log r/2)} \geq \frac{\alpha(\lambda_{n_k} G[\lambda_{n_{k-1}}; 1/\bar{l}])}{\alpha(\log r_{k+1}/2)}. \tag{3.20}$$

Equation (3.13) now follows from (3.20) for $\bar{l} < \infty$. For $\bar{l} = \infty$ we get $\lambda = \infty$.

This proves part (i) of the lemma.

(ii) One can verify that

$$\bar{l}(\{n_k\}) \geq \bar{l}^*(\{n_k\}) \tag{3.21}$$

for any increasing sequences $\{n_k\}$ of positive integers, if we take $\alpha(x) = a(x)$ on $(-\infty, a)$. Part (ii) of the lemma now follows from (3.13) and (3.21).

This proves the lemma.

LEMMA 2. *Let (1.5) be an entire function with $\psi(n)$ ultimately a nondecreasing function of n . Then*

$$l_0 = \liminf_{n \rightarrow \infty} \frac{\alpha(\lambda_{n-1})}{\{(1/\lambda_n) \log |a_n|^{-1}\}} \geq \varphi_2; \tag{3.22}$$

also

$$l_0^* = \liminf_{n \rightarrow \infty} \frac{\alpha(\lambda_{n-1})}{\alpha\{(1/(\lambda_n - \lambda_{n-1})) \log |a_{n-1}/a_n|\}} \geq \varphi_2, \tag{3.23}$$

where φ_2 , for $\alpha(x) \in A$, is defined by (3.3).

Proof of the lemma can be constructed, with suitable changes, along the lines of proofs of Lemma 4 of [4] and Theorem 2(ii) of [10].

In view of Theorem 3, Lemma 1 and Lemma 2, we have

THEOREM 5. *Let (1.5) be an entire function with generalised lower order $\lambda(\alpha, \alpha, \lambda) \equiv \lambda(1 \leq \lambda \leq \infty)$, and $\psi(n)$ be ultimately a nondecreasing function of n . Then,*

(i) *If $\alpha(x) \in \Omega$, we have*

$$\lambda = P(l_0) = P(l_0^*), \tag{3.24}$$

where l_0 and l_0^* are defined by (3.22) and (3.23), respectively.

(ii) If $\alpha(x) \in \bar{\Omega}$, then (3.24) holds under the additional condition that $\alpha(\lambda_n) \sim \alpha(\lambda_{n+1})$ as $n \rightarrow \infty$.

We now prove

THEOREM 6. Let (1.5) be an entire function with generalised lower order $\lambda(\alpha, \alpha, f) \equiv \lambda(1 \leq \lambda \leq \infty)$. Then

(i) If $\alpha(x) \in \Omega$, we have

$$\lambda = \max_{\{n_k\}} [P_\chi(\bar{l})] \quad (3.25)$$

and, further, if we take $\alpha(x) = \alpha(a)$ on $(-\infty, a)$, then

$$\lambda(\alpha, \alpha, f) = \max_{\{n_k\}} [P_\chi(\bar{l}^*)], \quad (3.26)$$

where χ , \bar{l} and \bar{l}^* are as defined in (3.14), (3.15) and (3.17), respectively, and maximum is taken over all increasing sequences $\{n_k\}$ of positive integers.

(ii) Further, if $\{\lambda_{n_m}\}$ is the sequence of the principal indices of $f(z)$ such that $\alpha(\lambda_{n_m}) \sim \alpha(\lambda_{n_{m+1}})$ as $m \rightarrow \infty$, then (3.25) and (3.26) hold for $\alpha(x) \in \bar{\Omega}$ also (here again, in (3.26) we take $\alpha(x) = \alpha(a)$ on $(-\infty, a)$).

Proof. Consider the function $\bar{g}(z) = \sum_{m=0}^{\infty} a_{n_m} z^{\lambda_{n_m}}$, where $\{\lambda_{n_m}\}$ is the sequence of the principal indices of $f(z)$. Then $\bar{g}(z)$ is also an entire function. Further, $f(z)$ and $\bar{g}(z)$ have the same maximum term for any z , and so by Theorem 3 the generalised lower order of $\bar{g}(z)$ is also $\lambda(\alpha, \alpha, f)$. Also, $\bar{g}(z)$ satisfies the hypothesis of Theorem 5. Now, applying Theorem 5 to $\bar{g}(z)$ and Lemma 1 to $f(z)$, we get (3.25) and (3.26). This proves the theorem.

Remark. With $\alpha(x) = l_p x$, $p \geq 1$, we get many results of [4, 11], from the results of this section. Here $l_j x$ denotes the j th iterate of $\log x$.

4. PROOFS OF THEOREMS 1 AND 2

We first have the following connecting lemma:

LEMMA 3. Let $f(x) \in C[-1, 1]$ and $E_n(f)$ satisfy (1.2). Then (A) of Theorem 1 holds. Further $g(z) = \sum_{n=0}^{\infty} E_n(f) z^n$ is an entire transcendental function and

$$\rho(\alpha, \alpha, f) = \rho(\alpha, \alpha, g)$$

and

$$\lambda(\alpha, \alpha, f) = \lambda(\alpha, \alpha, g).$$

The lemma follows from well known inequalities [5, pp. 76–78] and we omit the proof.

Proofs of Theorems 1 and 2. Theorems 1 and 2 now follow easily from the Theorems 4–6 and Lemmas 1–3.

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